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EMA**		Application Number		10/666,209					
		Filing Da	ate	September 17, 2003					
TRANSMITTAL FORM		First Named Inventor		Peter B. Evans					
(to be used for all correspondence during pendency of filed application)		Group Art Unit Number		2128					
			Examine	er Name	Suzanne Lo				
Total Number of Page	es in This Submission	15	Attorney	Docket Number	23990-082	25			
	FNCL	OSURES	(chec	k all that apply	()				
Fee Transmittal Form (in duplicate)  Check Enclosed  Return Receipt Postcard  Response to Notice to File Missing Parts  Assignment & Recordation Cover Sheet  Declaration  Power of Attorney  Application Data Sheet  Information Disclosure Statement & PTO/SB/08A  Copies of IDS Cited References  Request for Corrected Filing Receipt  Request for Correction of Recorded Assignment  Amendment/Response: [12] Page(s)  After Final  Status Request  Revocation and Substitute Power of Attorney				Issue Fee Transr Letter to Chief Dr Formal Drawing(s  [ ] Sheet(s Appeal Commun Interferences Appeal Commun (Appeal Notice, i Certified Copy of	all that apply) Issue Fee Transmittal Letter to Chief Draftsperson Formal Drawing(s):  [ ] Sheet(s) of Figure(s) [ ] Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Certified Copy of Priority Document(s) After Allowance Communication to Group				
REMARKS:									
	SIGNA	TURE OF	ATTOR	NEY OR AGEN	IT .				
Signature:		$Q \cdot C$	۰ 5	smith					
Attorney/Reg. No.:	attorney/Reg. No.: Albert C. Smith, Reg. No. 20,355 Dated: 7 7 06						06		
CERTIFICATE OF MAILING									
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.									
Signature:		Q	<u>, ( , , , , , , , , , , , , , , , , , ,</u>	Smith					
Typed or Printed Nam	ne: Albert C. Smith				Dated:	77	06		
Express Mail Mailing	Number (optional):								

# TRANSMITTAL for FY 2006

Patent fees are subject to annual revision. 

TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known				
Application Number 10/666,209				
Filing Date	September 17, 2003 1			
First Named Inventor	Peter B. Evans			
Examiner Name	Suzanne Lo '			
Art Unit	2128			
Attorney Docket No.	23990-08225 ′			

METHOD OF PAYME	NT (check all that apply)				F	EE CALCULATION (continued)	
☐ Check ☐ Credit Card ☐ Deposit Account:	Money Order ☐ Other ☒ None	3. AD	DITION	AL FEE	S		
Deposit Account Number	19-2555	Large f	Entity	Small	<u>Entity</u>	Fee Description	Fee Paid
Deposit Account Name	Fenwick & West LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
☐ Charge fee(s) indicated ☐ Charge all required fee(s	below Credit any overpayments  or any underpayment of fee(s) due  1.17 during the pendency of this	1051 1052 1053 1812	130 50 130 2,520	2051 2052 1053	65 25 130 2,520	Surcharge - late filing fee or oath or declaration Surcharge - late provisional filing fee or cover sheet Non-English specification For filing a request for ex parte reexamination	
application  Charge fee(s) indicated the above-identified depo	below, except for the filing fee to osit account.	1804 1805	920* 1,840*	1804	920° 1,840°	Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action	
FEE CA	ALCULATION	1251	120	2251	60	Extension for reply within first month	
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee	Fee Description Fee Paid	1252 1253 1254	450 1020 1,590	2252 2253 2254	225 510 795	Extension for reply within second month Extension for reply within third month Extension for reply within fourth month	
Code (\$) Code (\$)		1255 1401	2,160 500	2255 2401	1,080 250	Extension for reply within fifth month Notice of Appeal	
		1402 1403 1451	500 1000 1,510	2402 2403 1451	250 500 1,510	Filing a brief in support of an appeal Request for oral hearing Petition to institute a public use proceeding	
		1452	500	2452	250	Petition to revive - unavoidable	
SUBTO	TAL (1) (\$) 0	1453	1,500	2453	750	Petition to revive - unintentional	
2. EXTRA CLAIM FEES	FOR UTILITY AND REISSUE	1501	1,400	2501	700	Utility issue fee (or reissue)	
Extr	a Claims Fee from Fee Paid	1502	800	2502	400	Design issue fee	
Total Claims 20 -20**=	0 × 25 = 0	1503	1100	2503	550	Plant issue fee	
Independent Claims 7 73** =	0 × 100 = 0	1460	_	1460		Petitions to the Director	
Multiple Dependent	=	1807	50	1807	50	Processing fee for Provisional Applications	
Large Entity Small Entity Fee Fee Fee		1806	180 40	1806 8021	180 40	Submission of Information Disclosure Stmt  Recording each patent assignment per property	
Fee Fee Fee Code (\$)	Fee Description	8021	40	0021	40	(times number of properties)	
1202 50 2202 25	Claims in excess of 20	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1201 200 2201 100	Independent claims in excess of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1203 360 2203 180	Multiple dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)	
1204 200 2204 100	**Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 50 2205 25	**Reissue claims in excess of 20 and over original patent	Other f	ee (specif	y)			
SUBTO	TAL (2) (\$) 0					SUBTOTAL (3) (\$) 0	
or number previously paid, if	greater; For Reissues, see above	•Reduc	ed by Basi	c Filing F	ee Paid		

SUBMITTED BY				Complete (if applicable)
Name (Print/Type)	Albert C. Smith	Registration No. (Attorney/Agent) 20,355		Telephone (650) 335-7296
Signature		Q.C. Smith	Date	7/7/06



### IN THE UNITED STATES

### PATENT AND TRADEMARK OFFICE

**APPLICANTS:** 

Peter B. Evans and Steven E. Schumer

**APPLICATION NO.:** 

10/666,209

FILING DATE:

September 17, 2003

TITLE:

Assessing Distributed Energy Resouces For The

Energynet

**EXAMINER:** 

Suzanne Lo

**GROUP ART UNIT:** 

2128

ATTY. DKT. NO.:

23990-08225

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated:

7706

By: \_\_\_

Albert C. Smith, Reg. No.: 20,355

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

## **AMENDMENT**

Sir:

The following Remarks are responsive to the Official Action dated April 17, 2006 received in the patent application identified above.